Microscopic formula for transport coefficients of causal hydrodynamics

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The Green-Kubo-Nakano formula should be modified in relativistic hydrodynamics because of the problem of acausality and the breaking of sum rules. In this Rapid Communication, we propose a formula to calculate the transport coefficients of causal hydrodynamics based on the projection operator method. As concrete examples, we derive the expressions for the diffusion coefficient, the shear viscosity coefficient, and corresponding relaxation times.

DOI: 10.1103/PhysRevE.75.060103

PACS number(s): 05.70.Ln, 47.10.-g

The hydrodynamic model has been applied to analyze collective aspects of relativistic heavy-ion collisions. The analysis, so far, has been implemented extensively for ideal fluid. However, there is no theoretical reason that we can ignore the effect of dissipation. The relativistic extension of dissipative hydrodynamics is not trivial [1,2]. Because of the problem of acausality and the breaking of sum rules, the simple covariant extension of the Navier-Stokes equation does not work. To obtain a consistent theory, we need to introduce a memory effect with a relaxation time [2–4]. Then, the diffusion-type equation is modified to the telegraph-type equation.

This modification also affects the definition of the transport coefficients. In the usual nonrelativistic hydrodynamics, it is known that such transport coefficients can be calculated by using the Green-Kubo-Nakano (GKN) formula [5]. However, in relativistic hydrodynamics, there is a problem of using the GKN formula to estimate the transport coefficients of causal hydrodynamics. For example, let us consider the diffusion process which is described by

$$\frac{\partial}{\partial t}n(x,t) = D_{ac}\nabla^2 n(x,t). \tag{1}$$

Then, by using the GKN formula, the diffusion constant is given by [5]

$$D_{ac} = \left(\frac{\partial \mu}{\partial n}\right)_{\beta} \lim_{\omega \to 0} \lim_{\mathbf{k} \to 0} \int_{0}^{\infty} dt \int d^{3}x e^{-i(\mathbf{k} \cdot \mathbf{x} - \omega t)}$$
$$\times \frac{1}{3} \int_{0}^{\beta} d\lambda \langle \vec{j}(\mathbf{x}, t - i\lambda) \vec{j}(\mathbf{0}, 0) \rangle_{eq}, \qquad (2)$$

where μ represents a chemical potential and $\langle \rangle_{eq}$ denotes the equilibrium expectation value with the density matrix $\rho = e^{-\beta H}/Z$ using $Z = \text{Tr}[e^{-\beta H}]$. Here, $\vec{j}(\mathbf{x},t)$ is a current operator associated with $n(\mathbf{x},t)$ [see Eq. (24) below]. In deriving this expression, we assumed that the coarse-grained dynamics of n(x,t) is given by Eq. (1). If the coarse-grained dynamics is changed, we cannot use Eq. (2). Thus the GKN formula should be modified.

As a matter of fact, it is known that the diffusion equation does not obey relativistic causality: the propagation speed of signal of Eq. (1) exceeds the speed of light [2-4]. Moreover, the diffusion equation is inconsistent with sum rules associ-

ated with conservation laws [6,7]. Aiming to avoid these problems, a frequently considered alternative is given by the telegraph equation,

$$\frac{\partial^2}{\partial t^2} n(\mathbf{x}, t) + \frac{1}{\tau} \frac{\partial}{\partial t} n(\mathbf{x}, t) = D_c \nabla^2 n(\mathbf{x}, t).$$
(3)

Here, the parameter τ is the relaxation time and it is necessary to obtain a causal theory which is consistent with sum rules [6,7]. When the coarse-grained dynamics is given by Eq. (3) instead of Eq. (1), the causal diffusion coefficient D_c is not given by Eq. (2). It should be noted that the telegraph equation will not be a unique solution to solve the problem of acausality. See [8] for details.

In this Rapid Communication, we derive a general formula of transport coefficients of causal hydrodynamics. For example, as we will see later, in the case of the causal diffusion process described by Eq. (3), the causal diffusion coefficient and the relaxation time are given by Eqs. (17) and (18). Interestingly, the diffusion constant (2) does not represent the causal diffusion constant. It reduces to the inverse of the relaxation time under some approximation.

First of all, we consider an operator of a gross (hydrodynamic) variable $O(\mathbf{x})$. The time-evolution of the O is given by the Heisenberg equation of motion,

$$\frac{\partial}{\partial t}O(\mathbf{x},t) = iLO(\mathbf{x},t),\tag{4}$$

where *L* is the Liouville operator defined by LA = [H, A]. Here, *A* is an arbitrary operator and *H* is the Hamiltonian of our system. The operator *O* is arbitrary, but should satisfy $\langle O \rangle_{eq} = 0$ [7,9]. The Heisenberg equation of motion contains the information not only of gross variables associated with hydrodynamic time scales, but also of microscopic variables with shorter time scales. To carry out coarse grainings of the latter irrelevant information, we introduce a projection operator *P* and its complementary Q = 1 - P [7,9–13]. Then, the Heisenberg equation of motion is rewritten as

$$\frac{\partial}{\partial t}O(\mathbf{x},t) = e^{iLt}PiLO(\mathbf{x}) + \int_0^t ds e^{iL(t-s)}PiLQe^{iLQs}iLO(\mathbf{x}) + Qe^{iLQt}iLO(\mathbf{x}).$$
(5)

This equation is called the time-convolution equation [12,13]. The first term on the right-hand side (rhs) is called

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the streaming term and corresponds to a collective oscillation which does not produce entropy. The second term represents the dissipative part of the time evolution. The third term is interpreted as the noise term. The irreversible current is described by the second term. Irreversible currents are proportional to thermodynamic forces and the transport coefficients are defined by the proportional coefficients. Thus the first and third terms, which are irrelevant to the definition of transport coefficients, are ignored in the following discussion.

So far, we did not specify the form of the projection operator. In this work, we use the Mori projection operator [11],

$$PA = \int d^3x d^3x' (A, O^{\dagger}(\mathbf{x})) (O(\mathbf{x}), O^{\dagger}(\mathbf{x}'))^{-1} O(\mathbf{x}'), \quad (6)$$

where the Kubo's canonical correlation is defined by

$$(A,B) = \int_0^\beta \frac{d\lambda}{\beta} \langle e^{\lambda H} A e^{-\lambda H} B \rangle_{eq}.$$
 (7)

For the physical meaning of the Mori projection, see [9,11]. Substituting the Mori projection into Eq. (5), we have

$$\frac{\partial}{\partial t}O(\mathbf{x},t) = -\int_0^t ds \int d^3x' \Xi(\mathbf{x},\mathbf{x}';s)O(\mathbf{x}',t-s).$$
(8)

The memory function Ξ is given by

$$\Xi(\mathbf{x}, \mathbf{x}''; t) = -\theta(t) \int d^3x' \times (iLQe^{iLQt}iLO(\mathbf{x}), O^{\dagger}(\mathbf{x}')) \times (O(\mathbf{x}'), O^{\dagger}(\mathbf{x}'))^{-1}.$$
(9)

It is difficult to calculate the memory function because of the coarse-grained time-evolution operator e^{iLQt} . Usually, we approximately replace this term with the usual time-evolution operator e^{iLt} . As is discussed in [15], the approximation was considered to be justified at least for the low momentum limit, and the diffusion-type equation is derived. However, as is shown in [7], this approximation is not justified even for the low momentum limit, and gives rise to several problems: inconsistency of causality and sum rules. We will come back to this point later.

Recently, the coarse-grained time-evolution operator was calculated exactly [7,9,14]. By using the same method, we find that the Fourier transform of the memory function is given by

$$\Xi^{F}(\mathbf{k},\omega) = -\frac{\ddot{X}^{F}(\mathbf{k},\omega)}{1+\dot{X}^{F}(\mathbf{k},\omega)} + \frac{\dot{X}^{F}(\mathbf{k},t=0)\dot{X}^{F}(\mathbf{k},\omega)}{1+\dot{X}^{F}(\mathbf{k},\omega)}.$$
 (10)

Here, $\dot{X}^{F}(\mathbf{k}, \omega)$ and $\ddot{X}^{F}(\mathbf{k}, \omega)$ are given by the Fourier transforms of the following correlation functions, respectively:

$$\dot{X}(\mathbf{x} - \mathbf{x}'', t) = -\frac{i}{\beta}\theta(t) \int d^3x' \langle [O(\mathbf{x}, t), O^{\dagger}(\mathbf{x}')] \rangle_{eq} \\ \times (O(\mathbf{x}'), O^{\dagger}(\mathbf{x}''))^{-1}, \qquad (11)$$

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$$\ddot{X}(\mathbf{x} - \mathbf{x}'', t) = -\frac{i}{\beta}\theta(t) \int d^3x' \langle [iLO(\mathbf{x}, t), O^{\dagger}(\mathbf{x}')] \rangle_{eq} \\ \times (O(\mathbf{x}'), O^{\dagger}(\mathbf{x}''))^{-1}.$$
(12)

This is the first main result of this work. A similar expression of the memory function is obtained for the current-current correlation function in [14], although the term that corresponds to the second term on the rhs of Eq. (10) does not exist because they considered the case of $\dot{X}^{F}(\mathbf{k},t=0)=0$.

To obtain the expression of transport coefficients, we have to employ an approximation to derive a time-convolutionless equation from the integrodifferential equation. Following [7,9], we separate the memory function into two terms,

$$\frac{\partial}{\partial t}O(\mathbf{k},t) = -\int_{0}^{t} d\tau \Omega^{2}(\mathbf{k},t-\tau)O(\mathbf{k},\tau) -\int_{0}^{t} d\tau \Phi(\mathbf{k},t-\tau)O(\mathbf{k},\tau), \quad (13)$$

where the frequency function and the renormalized memory function are, respectively, defined by

$$\Omega^{2}(\mathbf{k},t) = i \int \frac{d\omega}{2\pi} \operatorname{Im}[\Xi^{F}(\mathbf{k},\omega)]e^{-i\omega t}, \qquad (14)$$

$$\Phi(\mathbf{k},t) = \int \frac{d\omega}{2\pi} \operatorname{Re}[\Xi^{F}(\mathbf{k},\omega)]e^{-i\omega t}.$$
 (15)

To employ this approximation, we have to know the temporal behavior of the two functions. So far, the behaviors of the two functions are investigated for the chiral order parameter [9] and the nonrelativistic number density [7]. For these cases, the two functions showed common properties; the frequency function converges to a finite value and the renormalized memory function vanishes at a later time. Thus we employ an important assumption for the temporal behavior of the two functions. The renormalized memory function relaxes rapidly and vanishes at a later time. On the other hand, the frequency function converges to a finite value after short time evolution. Then, the approximation means to replace the frequency function and the renormalized memory function with the following functions:

$$\Omega^2(\mathbf{k},t) \to D_{\mathbf{k}}\mathbf{k}^2, \quad \Phi(\mathbf{k},t) \to \frac{2}{\tau_{\mathbf{k}}}\delta(t),$$
 (16)

where

$$D_{\mathbf{k}} = \frac{1}{\mathbf{k}^2} \lim_{t \to \infty} \Omega^2(\mathbf{k}, t), \qquad (17)$$

$$\frac{1}{\tau_{\mathbf{k}}} = \int_{0}^{\infty} dt \Phi(\mathbf{k}, t).$$
(18)

These two definitions are the second main result of this Rapid Communication. The coefficient D_k gives us the dissipative coefficient and the coefficient τ_k is the relaxation time. As a matter of fact, by using these expressions, we arrive at

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$$\frac{\partial}{\partial t}O(\mathbf{k},t) + \frac{1}{\tau_{\mathbf{k}}}O(\mathbf{k},t) = -D_{\mathbf{k}}\mathbf{k}^{2}\int_{0}^{t}d\tau O(\mathbf{k},\tau).$$
 (19)

This equation has the same form as the causal diffusion equation (3) except for the momentum dependence of the relaxation time τ_k [7].

The same equation as Eq. (19) has already been given by [7,9]. However, the perturbative approximation was applied in the calculation of the memory functions there. The result of this Rapid Communciation is exact except for the local approximation.

The validity of the above assumption for the frequency and renormalized memory functions should be checked by calculating these temporal behaviors, numerically. However, we can see that the assumption is reasonable by using the final value theorem of the Laplace transformation [7,9]. When the renormalized memory function converges to zero at late time, its Laplace transform should satisfy,

$$\lim_{t \to \infty} \Phi(\mathbf{x}, t) = \lim_{s \to 0} s \Phi^L(\mathbf{x}, s) = 0.$$
(20)

Similarly, the dissipative coefficient which is calculated from the frequency function should be given by

$$D_{\mathbf{k}}k^{2} = \lim_{t \to \infty} \Omega^{2}(\mathbf{k}, t) = \lim_{s \to 0} s(\Omega^{2})^{L}(\mathbf{k}, s).$$
(21)

Here, the suffix *L* means the Laplace transform.

To obtain the dissipative coefficient and the relaxation time, we have to know the correlation functions $\dot{X}^{F}(\mathbf{k}, \omega)$ and $\ddot{X}^{F}(\mathbf{k}, \omega)$. Both of them can be calculated by using the imaginary-time Green function, $\mathcal{G}(\mathbf{x}, \tau; \mathbf{x}', \tau')$ =-Tr{ $\rho T_{\tau}O(\mathbf{x}, \tau)O^{\dagger}(\mathbf{x}, \tau')$ }. Once we have it, the correlation function $\dot{X}^{F}(\mathbf{k}, \omega)$, which is essentially the retarded Green function, can be estimated by using the analytic continuation of the imaginary-time Green function. The other correlation function $\ddot{X}^{F}(\mathbf{k}, \omega)$ can be calculated by using the following relation:

$$\frac{\partial}{\partial t}\dot{X}(\mathbf{x} - \mathbf{x}''; t) = \ddot{X}(\mathbf{x} - \mathbf{x}''; t) + \dot{X}(\mathbf{x} - \mathbf{x}''; t)\,\delta(t)\,.$$
(22)

To see the relation between our formula and the GKN formula, we would like to discuss the diffusion process of a conserved number density $n(\mathbf{x},t)$, by setting $O = \delta n(\mathbf{x}) = n(\mathbf{x}) - \langle n(\mathbf{x}) \rangle_{eq}$. When we approximately replace e^{iLQt} of Eq. (9) with e^{iLt} as was mentioned below Eq. (9), the memory function is given by

$$\Xi^{F}(\mathbf{k},\omega) \approx - \ddot{X}^{F}(\mathbf{k},\omega).$$
(23)

Here, we used $\dot{X}^{F}(\mathbf{k},t=0)=0$ for $O = \delta n(\mathbf{x})$. The correlation function $\ddot{X}^{F}(\mathbf{k},t)$ is

$$\ddot{X}^{F}(\mathbf{k},t) = \theta(t)\beta\left(\frac{\partial\mu}{\partial n}\right)_{\beta}\int d^{3}x e^{-i\mathbf{k}\cdot\mathbf{x}}\frac{1}{3}k^{2}(\vec{j}(\mathbf{x},t),\vec{j}(\mathbf{0},0)),$$
(24)

where μ is the chemical potential. Here, we introduced the current operator by $dn(\mathbf{x},t)/dt = -\nabla \vec{j}(\mathbf{x},t)$. The equal-time

correlation function is approximately given by $\int d^3x e^{-i\mathbf{k}\cdot\mathbf{x}}(\delta n(\mathbf{x},0),\delta n(\mathbf{0},0)) \approx \frac{1}{\beta} \left(\frac{\partial n}{\partial \mu}\right)_{\beta}$ [5]. At the low momentum limit, we have

$$D_{\mathbf{k}} = 0, \quad \frac{1}{\tau_{\mathbf{k}}} = D_{ac}k^2,$$
 (25)

where D_{ac} is defined by Eq. (2). Then, one can see that Eq. (19) is reduced to the diffusion equation (1), and the diffusion constant is given by Eq. (2). From this analysis, we found that our formula is reduced to the GKN formula when we ignore the effect of coarse grainings on correlation functions. Then, the GKN formula gives not the diffusion coefficient D_c but the inverse of the relaxation time $1/\tau_k$.

It should be noted that the diffusion equation cannot satisfy the *f*-sum rule. In general, when we take the effect of the coarse-grained time-evolution operator into account, D_k does not vanish and we obtain the telegraph equation. The telegraph equation can be consistent with the *f*-sum rule [6,7].

Next, we apply our formula to a nonrelativistic fluid to derive the shear viscosity constant. In the classical calculation, the commutator should be replaced by the Poisson bracket. For simplicity, we consider a particular case of shear flow, where the fluid velocity $u_x(y,t)$ points in the *x* direction and varies spatially only in the *y* direction. Then, the current density is given by $J^x(k_y) = \rho u_x = \sum p_i^x e^{ik_y y_i}$, where ρ is a mass density and p_i^x is a momentum of a constituent particle. By setting $O = J^x(k_y)$ and substituting into Eq. (19), we have the evolution equation of the current density,

$$\frac{\partial}{\partial t}J^x(k_y,t) + \frac{1}{\tau_{k_y}}J^x(k_y,t) = -D_{k_y}k_y^2 \int_0^t d\tau \rho u^x(k_y,\tau). \quad (26)$$

If we ignore the effect of the coarse-graining, we obtain,

$$D_{k} = 0, \frac{1}{\tau_{k}} = k^{2} \frac{\beta}{\rho V} \int_{0}^{\infty} dt \langle P_{xy}(k,t) P_{xy}(-k,0) \rangle_{eq}, \quad (27)$$

where the pressure tensor is defined by $J^x = ik_y P_{xy}(k_y)$ and V is the total volume of the system. At the low momentum limit, the inverse of the relaxation time is reduced to the GKN formula for the shear viscosity constant [16].

Similarly, we can derive the formula for the shear viscosity of the relativistic dissipative hydrodynamics [2]. We consider a particular situation which is the same as the nonrelativistic case discussed above. Then, the energy-momentum tensor $T^{\mu\nu}$ obeys the following equation of motion: $\frac{\partial}{\partial t}T^{0x} = -\frac{\partial}{\partial y}T^{yx} = -\frac{\partial}{\partial y}\pi^{xy}$, where $\pi^{\mu\nu}$ is the shear viscosity. Here, we ignore the reversible part. As is discussed in [2], the shear viscosity is given by solving the telegraph-type equation. Then, the linearized equation of motion in terms of the fluid velocity is

$$\frac{\partial^2}{\partial t^2} T^{0x} + \frac{1}{\tau} \frac{\partial}{\partial t} T^{0x} + \frac{\eta}{2\tau} \frac{\partial^2}{\partial y^2} u^x = 0.$$
(28)

On the other hand, by setting $O = T^{0x}$, we obtain

$$\frac{\partial}{\partial t}T^{0x}(k_{y},t) + \frac{1}{\tau_{k_{y}}}T^{0x}(k_{y},t) = -D_{k_{y}}k_{y}^{2}\int_{0}^{t}d\tau w u^{x}(k_{y},\tau),$$
(29)

where *w* is an enthalpy density. Here, we assume that the fluid velocity is given by $u^{x}(k_{y})=T^{0x}(k_{y})/w$ in the linear approximation of the fluid velocity. Comparing with Eq. (28), we obtain the expressions of the transport coefficients of relativistic dissipative hydrodynamics. The relaxation time and the shear viscosity constant are given by

$$\tau = \lim_{k_y \to 0} \tau_{k_y},\tag{30}$$

$$\eta = \lim_{k_y \to 0} 2w D_{k_y} \tau_{k_y},\tag{31}$$

respectively. In particular, the correlation function $\hat{X}(\mathbf{k},t)$ is given by

$$\ddot{X}^{F}(\mathbf{k},t) = \theta(t)\frac{1}{5} \int d^{3}x d^{3}x_{1}e^{-i\mathbf{k}\cdot\mathbf{x}}k^{2}(T^{\alpha\beta}(\mathbf{x},t),T_{\alpha\beta}(\mathbf{x}_{1},0))$$
$$\times (T^{0x}(\mathbf{x}_{1},0),T^{0x}(\mathbf{0},0))^{-1} \quad (\alpha,\beta=1,2,3). \quad (32)$$

If we ignore the effect of the coarse-grainings again, our calculation reproduces the shear viscosity given by [17]. In this sense, our expression of the shear viscosity is the generalization of the well-known result.

In this work, we derived a formula to calculate transport coefficients of causal hydrodynamics. In our formula, we took the effect of the coarse grainings in the memory func-

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tion into account. Then, the coarse-grained equation is given not by the diffusion-type equation, but by the telegraph-type equation. By comparing our equation with phenomenological equations, we obtained the expressions of the diffusion coefficient, the shear viscosity coefficient, and the relaxation times. This formula reproduces the GKN formula when the effect of the coarse grainings is ignored in the memory function.

Near phase transitions, the relaxation of the fluctuations of thermodynamic quantities is decelerated. This is called the critical slowing down and is expected to happen for the shear viscosity [18]. We have already shown that the critical slowing down near the chiral phase transition can be described in this formalism [9].

In this Rapid Communication, we derived the linear equation (8) and ignored the effect of nonlinearity included in the noise term, the third term of Eq. (5), which is negligibly small near equilibrium. When we discuss the far-fromequilibrium dynamics, which will be described by the nonlinear hydrodynamics, we have to extend the definition of the projection operator so as to extract the nonlinear effect from the noise term [9,11].

The formula of transport coefficients of extended thermodynamics is discussed also by Ichiyanagi [19]. The formula, however, contains the coarse-grained time-evolution operator, explicitly. Thus to apply the formula to practical problems, we need to rewrite the expression as was done in this Rapid Communication.

The author thanks G. S. Denicol, F. Gelis, T. Kodama, and Ph. Mota for helpful discussions. This work was supported by CNPq.

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